



Financial Conduct Authority (FCA)

Official Notice

Pursuant to Section 19 of the Financial Services and Markets Act 2000 ("FSMA"), no individual or legal entity may carry out regulated financial activities in the United Kingdom without proper authorization. To uphold market integrity, protect consumers, and ensure financial system stability, the FCA is responsible for supervising, authorizing, and continuously monitoring all firms engaged in such activities.

This notice confirms that the following firm has successfully completed the FCA authorization process and is fully licensed to offer regulated financial services in the UK.

I. Authorized Firm Information

Company Name: *Solis Fund*

Authorization Status: *Authorized Firm*

Firm Reference Number (FRN): *(As assigned by the FCA Register)*

Registered Activity Type: *Investment Management / Provider of Regulated Financial Activities*

Scope of Authorization:

Pursuant to provisions listed in the Regulated Activities Order 2001, the firm is



authorized to provide services including, but not limited to: client asset management, financial instrument trading, investment advice, and custody of assets.

II. Basis of Authorization and Review Procedure

Solis Fund submitted its application before **October 2021** and completed the full due diligence process in accordance with FSMA requirements. The FCA's supervisory review covered the following areas:

- 1. Corporate Governance:**

Including board composition, controller declarations, and fit & proper assessments for key personnel.

- 2. Capital Requirements:**

In line with the Capital Requirements Regulation (CRR), ensuring minimum capital adequacy.

- 3. Risk Management Systems:**

Comprehensive evaluation of AML frameworks, KYC policies, transaction monitoring, and compliance reporting.

- 4. Client Asset Segregation:**

Demonstrating robust controls to separate client funds from operational accounts.

- 5. Compliance Culture and Operational Readiness:**



Including internal audit mechanisms, staff training, and technological infrastructure review.

Solis Fund met all regulatory standards necessary to perform authorized financial services. The FCA confirms that the firm poses no undue risk to market stability and has approved its application in accordance with the FCA Handbook as of **November 2021**.

III. Parent Company and Ownership Structure

Solis Fund is wholly owned by *Euro Dent Invest Public Limited Company*, incorporated in England and Wales under Company Number **09343115**.

Company details can be accessed via:

Euro Dent Invest PLC is a public limited company (PLC) registered under the Companies Act 2006, authorized to issue public shares and operate as a holding entity.

The parent company exercises supervisory control and governance responsibility over Solis Fund. Audited financial records from **2019 to 2021** demonstrate operational soundness and sufficient capital backing to support Solis Fund's compliant operations.

IV. Post-Authorization Regulatory Obligations

As an FCA-authorized firm, Solis Fund is subject to the following continuing obligations:



1. **Regular Reporting:**

Quarterly and annual submissions of REP-CRIM, COREP, FINREP, and client complaint logs.

2. **Anti-Money Laundering Compliance:**

Subject to both scheduled and ad hoc reviews by the FCA's AML unit.

3. **Data Security:**

Full compliance with the General Data Protection Regulation (GDPR) and FCA cybersecurity guidelines.

4. **Fair Marketing and Suitability:**

Duty to provide full disclosures and suitability assessments for all retail and professional clients.

5. **Regulatory Fees:**

Annual payment of levies calculated by the FCA based on firm size and activity type.

Failure to fulfill these obligations may result in enforcement under FSMA Section 45, including license suspension, monetary penalties, revocation, or public censure.

V. Consumer Protection and Compensation

As a regulated firm, Solis Fund is eligible under the **Financial Services Compensation Scheme (FSCS)**. Qualifying retail clients and SMEs may claim up



to **£85,000** in the event of default. All products and services are subject to the oversight of the **Financial Ombudsman Service (FOS)**.

VI. Verification and Scope of This Notice

This notice affirms Solis Fund's FCA authorization but does not constitute an endorsement of its products or performance. Investors should perform their own risk assessments before engaging with the firm.

To verify authorization status, visit the FCA Financial Services Register:

<https://register.fca.org.uk>

Search by company name or reference number for license status, permitted activities, complaint records, and historical regulatory data.

VII. Disclaimer

This document has been prepared in accordance with FCA regulatory guidance. Any future amendments shall be governed by the latest FCA public announcements. This notice does not constitute a promotion, recommendation, or guarantee of any financial product or service.

Financial Conduct Authority (FCA)

12 Endeavour Square, London E20 1JN

Website: <https://www.fca.org.uk>

Date of Publication: **November 7, 2021**